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| Internal issues | | | | | |
| **Date (mm/dd/yy)** | **Internal Issue** | **Effects** | **Action** | |  |  | | --- | --- | |  | **Result** | | **Final Status (Open/ Closed/ NA)** |
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[**Hon Kong and Shanghai Banking Corporation**](https://en.wikipedia.org/wiki/Hongkong_and_Shanghai_Banking_Corporation) **Limited (HSBC).**

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| External issue | | | | | |
| **Date (mm/dd/yy)** | **External Issue** | **Effects** | |  |  | | --- | --- | |  | **Action** | | **Result** | **Final Status (Open/ Closed/ NA)** |
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| **Internal Audit Report** | | | | | |
| Audit  Ref. No. |  | | Audit Date |  | |
| Auditor |  | | Auditee |  | |
| Audit Scope: | | | | | |
| Audit Criteria: | | | | | |
| S. No. | Clause ref. | Requirements | Evidence evaluated  (Mention document references) | Finding  (C/NC/OFI) | Comments |
| 1 |  |  |  |  |  |
| 2 |  |  |  |  |  |
| 3 |  |  |  |  |  |
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| **Summary of Findings** | | |
| Total requirements checked | | |
| Conformances | | |
| Non - Conformances | | |
| OFIs | | |